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|--|----------------|---|----------------|--------------------------|----------------------|--------------------|--|
| DECLARATION FOR UTILITY OR   |                | First Named Inventor                      |                | Fidel Realyvasquez, M.D. |                      | •                  |  |
| DESIGN   |                | COMPLETE IF KNOWN                         |                |                          |                      |                    |  |
| PATENT APPLICATION<br>(37 CFR 1.63)  |                | oplication<br>umber                       | 10/814,865     |                          |                      |                    |  |
| Declaration Declaration  | FI             | ling Date                                 | March 30, 2004 |                          |                      |                    |  |
| Submitted OR Submitted after with Initial Filing (surchar  | ge) (Gr        | roup Art Unit                             | 3731           |                          |                      |                    |  |
| Filing (37 CFR 1.16 (required)   | (e)) Ex        | caminer Name                              |                |                          |                      |                    |  |
| As a below named inventor, I hereby declare that:  My residence, mailing address, and citizenship are as eleted below next to my name.  I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:   |                |   |                |                          |                      |                    |  |
| APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALVE SURGERY   |                |   |                |                          |                      |                    |  |
|  | (Title         | of the invention)                         |                |                          |                      |                    |  |
| the specification of which   |                |   |                |                          |                      |                    |  |
| Is attached hereto   |                |   |                |                          |                      |                    |  |
| OR No. 10 to |                |   |                |                          |                      |                    |  |
| was filed on 3/30/2004 as United States App  | •              |   |                |                          |                      |                    |  |
| Application Number 10/814,865 and was amended on (MM/DD/YYYY) (if applicable).   |                |   |                |                          |                      |                    |  |
| I hereby state that I have reviewed and understate amended by any amendment specifically referred  |                | nts of the above Ide                      | ntified ep     | ecification, in          | cluding the clai     | ms, as             |  |
| i acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1,56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  |                |   |                |                          |                      |                    |  |
| I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(e) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(a), or any PCT international application having a filing date before that of the application on which priority is claimed.   |                |   |                |                          |                      |                    |  |
| Prior Foreign Application Cour<br>Number(s)  | ntry           | Foreign Filing E                          |                | riority Not<br>Claimed   | Certified Co.<br>YES | py Attached?<br>NO |  |
|  |                |   |                |                          |                      |                    |  |
|  |                |   |                |                          |                      |                    |  |
|  |                |   |                |                          |                      |                    |  |
| Additional foreign application numbers are   | listed on a cu | nolemental priority                       | data eher      | at PTO/SR/02             | /B attached he       | reto:              |  |

[Page 1 of 2]

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|--|--|-----------|--------------------------------|--------------------------|--|
| Address  City State ZIP  Country Telephone Fax  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment. Or of on any pationt issued thereon.  NAME OF SOLE OR FIRST INVENTOR:  A petition has been filed for this unsigned inventor  Family Name Or Surname: REALYVASQUEZ, M.D.  Citizenship: US  Mailing Address: 22890 Bridlewood Lane  City: Palo Codro  State: CA  ZIP: 96073  Gountry: US  Citizenship: Switzerland  Mailing Address: 936 Benvenue Avenue  City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 936 Benvenue Avenue  City: Los Altos  State: CA  ZIP: 94024  Country: US   | Direct all correspondence to: Customer Number OR Correspondence address below  |           |                                |                          |  |
| City State ZIP  Country Telephone Fax  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are balleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonments and the like so made in the fall statements and the like so made in the fall statements and the like so made in the fall statements and the like so made inventor.  Given Name (first and middle [if any)]: Pidel   |  |           |                                |                          |  |
| City  Country  Telephone  Fax  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are bolleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patont Issued thereon.  Apetition has been filled for this unalgned inventor  Given Name (first and middle [if any]): Fidel  Inventor's  Signature  Residence City: Pale Cedro  State: CA  Country: US  Citizenship: US  Mailing Address: 22690 Bridlewood Lane  City: Pale Cedro  State: CA  ZiP: 96073  Gountry: US  NAME OF SECOND INVENTOR:  A petition has been filed for this unalgned inventor  Family Name Or Surname: SCHALLER  Date // 9 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Berrvenue Avenue  City: Los Altos  State: CA  ZiP: 84024  Country: US  | Name   |           |                                |                          |  |
| Country  Telephone  Fax  Thereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are bolleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.  A petition has been filed for this unalgned inventor  Given Name (first and middle [if any]): Fidel  Inventor's  Signature  Residence City: Pale Cedro  State: CA  Country: US  Citizenship: US  Mailing Address: 22690 Bridiewood Lane  City: Pale Cedro  State: CA  ZIP: 96073  Gountry: UB  NAME OF SECOND INVENTOR:  A petition has been filed for this unalgned inventor  Family Name Or Surname: REALTYASQUEZ, M.D.  City: Pale Cedro  State: CA  ZIP: 96073  Gountry: UB  Date // 9 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 596 Berrvenue Avenue  City: Los Altos  State: CA  ZIP: 84024  Country: US   | Address  |           |                                |                          |  |
| Country  Telephone Fax  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are bolleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or Imprisonment, or both under 18 U.S.C. 1001 and that such willful false statements and the like so made with the knowledge that willful false statements and the like so made with the knowledge are true and that all statements made on Information and the like so on Information and the like so that with the knowledge that willful false statements and the like so that such willful false statements and the like so that such willful false statements and the like so that such willful false statements and the like so for fund from the statements and the like so that such willful false statements and the like so that such willful false statements and the like so that such willful false statements and the like so that such willful false statements and the like statements and the like statements will the knowledge that willful false statements and the like statements will the false statements and the like statements will the false statements and the like statements will the false statements wi |  |           |                                |                          |  |
| Country  Telephone  Te | City   |           | State                          | ZIP                      |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and bellef are bolleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or any pation less that such willful false statements and the like so made are punishable by fine or any pation less distance.  Given Name (first and middle [if any]): Fidel    Pamily Name   Pamily Name   Pamily Name   Pamily Name   Or Surname: SCHALLER   |  |           |                                |                          |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are bolleved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.  NAME OF SOLE OR FIRST INVENTOR:  Given Name (filtrat and middle [if amy]): Pidel  Inventor's  Signature  Residence City: Palo Cedro  State: CA  ZIP: 96073  Gountry: US  Mailling Address: 22660 Bridlewood Lane  City: Palo Cedro  State: CA  ZIP: 96073  Gountry: US  NAME OF SECOND INVENTOR:  A petition has been filled for this unsigned inventor  Family Name (first and middle [if any]): Laurent  Family Name (first and middle [if any]): Laurent  Partily Name (first and middle [if any]): Laurent  Date // 9 o 4  Residence City: Los Altos  State: CA  Country: US  Cittzenship: Switzerland  Malling Address: 595 Benvenue Avenue  City: Los Altos  State: CA  ZIP: 94024  Country: US   |  |           |                                |                          |  |
| NAME OF SOLE OR FIRST INVENTOR:  A petition has been filed for this unsigned inventor  Given Name (filret and middle [if amy]): Fidel  Inventor's Signature  Residence City: Palo Cedro  State: CA  Country: US  Citizenship: US  Mailing Address: 22690 Bridiewood Lane  City: Palo Cedro  State: CA  ZIP: 96073  Gountry: US  NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor  Given Name (first and middle [if any]) Laurent  Family Name Or Surmame: SCHALLER  Inventor's Signature  Date // 9 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Bernvenue Avenue  City: Los Altos  State: CA  ZIP: 94024  Country: US   | I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are boileved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may |           |                                |                          |  |
| Given Name (first and middle [if arry]): Fidel  Inventor's Signature  Residence City: Palo Cedro State: CA  Country: US  Citizenahip: US  Mailing Address: 22690 Bridlewood Lane  City: Palo Cedro State: CA  ZIP: 96073  Country: UB  NAME OF SECOND (NVENTOR: A petition has been filed for this uneigned inventor  Given Name (first and middle [if anyl)) Laurent  Inventor's Bignature  Residence City: Los Altos  State: CA  Country: US  Citizenahip: US  Citizenahip: Switzerland  Mailing Address: 596 Benvenue Avenue  City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 596 Benvenue Avenue   | NAME OF SOLE OR FIRST INVENTOR:  |           | been filed for this unslaned I | Inventor                 |  |
| Inventor's Signature  Residence City; Palo Cedro State: CA Country: US Citizenship: US  Mailing Address: 22880 Bridiewood Lane  City: Palo Cedro State: CA ZiP: 98073 Country; US  NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor  Given Name (first and middle [if snyll Laurent Park   Or Surname: SCHALLER  Inventor's Signature  Residence City: Los Altos State: CA Country: US Citizenship: Switzerland  Mailing Address: 595 Bervenue Avenue  City: Los Altos State: CA ZiP: 84024 Country: US   | Given Name Family Name   |           |                                |                          |  |
| Residence City: Pale Cedro  State: CA  Country: US  Citizenship: US  Mailing Address: 22690 Bridiewood Lane  City: Pale Cedro  State: CA  ZIP: 96073  Country: US  NAME OF SECOND /NVENTOR:  A petition has been filed for this unsigned inventor  Given Name (first and middle [if anyl) Laurent  Inventor's Signature  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Benvenue Avenue  City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  City: Los Altos  State: CA  ZIP: 94024  Country: US   | Inventor's   |           |                                | Date                     |  |
| City: Palo Cedro  NAME OF SÉCOND INVENTOR:  A petition has been filed for this unsigned inventor  Given Name (first and middle [if snyl) Laurent  Family Name Or Surname: SCHALLER  Date // . 9 . 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Benvenue Avenue  City: Los Altos  State: CA  ZIP: 96073  Country: US  Country: US  Citizenship: Switzerland   | Residence City; Palo Cedro   | State: CA | Country: US                    | Citizenahip: US          |  |
| City: Palo Cedro  NAME OF SÉCOND INVENTOR:  A petition has been filed for this unsigned inventor  Given Name (first and middle [if snyl) Laurent  Family Name Or Surname: SCHALLER  Date // . 9 . 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Benvenue Avenue  City: Los Altos  State: CA  ZIP: 96073  Country: US  Country: US  Citizenship: Switzerland   | Mailing Address: 22690 Bridlewood Lane   |           |                                |                          |  |
| NAME OF SECOND INVENTOR:    A petition has been filed for this unsigned inventor    Given Name   |  | State: CA | ZIP: 98073                     | Gountry: US              |  |
| Given Name (first and middle [if any]) Laurent  Inventor's Signature  Date // 9 0 4  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 596 Benvenue Avenue  City: Los Altos  State: CA  ZiP: 94024  Country: US  |  |           |                                |                          |  |
| Inventor's Signature  Residence City: Los Altos  State: CA  Country: US  Citizenship: Switzerland  Mailing Address: 595 Berryenue Avenue  City: Los Altos  State: CA  ZiP: 94024  Country: US  | Given Name   |           |                                |                          |  |
| Mailing Address: 595 Benvenue Avenue  City: Los Altos Stets: CA ZIP: 94024 Country: US   | Inventor's   | /         | ·                              |                          |  |
| Mailing Address: 595 Benvenue Avenue  City: Los Altos State: CA ZIP: 94024 Country: US   | Residence City: Los Altos  | State: CA | Country: US                    | Citizenship: Switzerland |  |
| City: Los Altos State: CA ZIP: 94024 Country: US   |  |           |                                |                          |  |
|  |  | State: CA | ZIP: 94024                     | Country: US              |  |
| Additional inventors are being named on the < <text>&gt; aupplemental Additional inventor(s) sheet(a) PTO/SB/02S attached horeto</text>  |  |           |                                |                          |  |

[Page 2 of 2]

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| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)   |  |  |  |  |  |  |  |
|  |  | First Named Inventor   |  | Fidel R  | Fidel Realyvasquez, M.D.   |  |  |
|  |  |  | COMP   | LETEIF   | KNOWN  |  |  |
|  |  | Application<br>Number  | 10/814,865   |  |  |  |  |
| Dectaration  | <b>⊠</b> Declaration   | Filing Date  | March 30, 2004   |  |  |  |  |
|  | Submitted after initial  | Group Art Unit   | 3731   |  |  |  |  |
| Filing   | (37 CFR 1.16 (e))<br>required)   | Examiner Name  |  |  |  |  |  |
| As a below named inventor,   | I hersby declare that:   |  |  | ,  |  | · ,  |  |
| My residence, malling address.   | , and citizenship are as stat  | ted below next to my na  | ımė.   |  |  |  |  |
| I believe I am the original, first a<br>names are listed below) of the s   | and sole inventor (if only on<br>subject matter which is dein  | ne name is listed below)<br>med and for which a par  | ) or an orig<br>Ment is sou  | ught on the  | e invention entit  | or (if plural<br>led:  |  |
| APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALVE SURGERY   |  |  |  |  |  |  |  |
| i  | (7   | Title of the Invention)  |  |  | ····   |  |  |
| the specification of which   |  | -  |  |  |  |  |  |
| Is attached hereto   |  |  |  |  |  |  |  |
| OR   |  |  |  |  |  |  |  |
|  | Inited States Application Nu   | imber or PCT Information   | wal  |  |  |  |  |
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|  | _  |  |  |  |  |  |  |
| I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as emended by any amendment specifically referred to above.   |  |  |  |  |  |  |  |
| I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for confinuation-in-part applications, material information which became available between the filling data of the prior application and the national or PCT international filing data of the continuation-in-part application, |  |  |  |  |  |  |  |
| or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other patent, inventor's than the United States of America, listed below and have also identified below, by checking the box, any foreign application for application on which priority is claimed.                     |  |  |  |  |  |  |  |
| Number(a)  | Country  | Foreign Filing Date (MM/DD/YYYY)   |  | rity Not   | Certified Cor  | py Attached?   |  |
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| - 1 + <u>1</u> 1 < t F   | DECLARATION FOR DESIGNATION FO | DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted OR Submitted after Initial Filing (surcharge) Filing (37 CFR 1.16 (e)) required)  As a below named inventor, I hereby declare that:  My residence, mailing address, and citizenship are as atative is an the original, first and sole inventor (if only on names are isted below) of the subject matter which is clair  APPARATUS AND METHODS FO  OR Application of which Is attached hereto OR Application Number 10/814,885 and was amended on (MM/Application Number 10/814,885 and was amended on the continuation-in-pert applications, material information which national or PCT international filing date of the continuation-inhered priority benefits under 35 U.S.C. 119(or plant breeder's rights certificate(s), or 385(a) of any PCT than the United States of America, fisted below and have application on which priority is claimed.  Prior Foreign Application Number(s)  Number(s)  Country | DECLARATION FOR UTILITY OR DESIGN  PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted OR Submitted after Initial Filling (surcharge) Filling Date Group Art Unit Filling (surcharge) Filling Oate Group Art Unit Filling (surcharge) Filling Oate Group Art Unit Filling (surcharge) Filling Oate Group Art Unit Group Art Unit Filling Oate Group Art Unit Filling Oate Group Art Unit Group A | Attorney Docket Number DESIGN PATENT APPLICATION (37 CFR 1.63)  Dectaration Submitted OR Submitted after Initial Filing Oate March 3 Submitted OR Submitted after Initial Filing Oate March 3 Submitted OR Submitted after Initial Filing Oate March 3 Submitted OR Submitted after Initial Filing Oate March 3 Group Art Unit 3731  As a below named Inventor, I hereby declare that:  My residence, mailing address, and citizenship are as stated below next to my name.  I believe I am the original, first and sode Inventor (if only one name is listed below) or an original residence, mailing address, and citizenship are as atted declared for which a patent is soon  APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALV  (Title of the Invention)  The specification of which  Is attached hereto  OR  was filed on 3/30/2004 as United States Application Number or PCT International  Application Number 10/814,885 and was amended on (MM/DD/YYYY) (if applicable).  I hereby state that I have reviewed and understand the contents of the above identified special mandor-th-part applications, material information which became available between the file acknowledge the duty to disclose information which is amsterial to patentability as defined in origination-th-part applications, material information which became available between the file acknowledge the duty to disclose information which is amsterial to patentability as defined in national or PCT International filing date of the continuation-hi-part application which in a patent inventor's or plant breeder's rights cortificate(s), or 385(a) of any PCT International application which boundary is claimed.  Prior Foreign Application  Number(e)  Attorney Docket Mandock  Country  Foreign Filing Date Prior (MM/DD/YYYY)  Circulation  Application on which priority benefits under 35 (a) of any PCT International application which boundary is claimed.  Prior Foreign Application  Number(e)  Prior Foreign Application | DEGLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted OR Submitted after Initial with Initial Filling (surcharge) (37 CFR 1.16 (e)) Filling Date March 30, 2004  As a below named Inventor, I hereby declare that:  My residence, mailing address, and citizenship are as stated below next to my name.  I believe I am the original, first and sode Inventor (if only one name is listed below) or an original, first names are isled below) of the subject matter which is delimed and for which a patent is sought on the names are isled below) of the subject matter which is delimed and for which a patent is sought on the APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALVE SURGI  (Title of the Invention)  The especification of which Is attached hereto OR was filed on 3/30/2004 as United States Application Number or PCT Intomational Application Number 10/814,885 and was amended on (MM/DD/YYYY) (if applicable).  I hereby state that I have reviewed and understand the contents of the above identified specification, in an amount of PCT international filing data of the configuration-in-part applications, material information which became available between the filing data or plant breader's rights certificate(s), or 385(a) of any PCT International application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate(s), or any PCT international application which designate patent, inventor's or plant breader's rights certificate( | DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted OR Submitted after Initial Filling Gurcharge) Filling Gate Filling Gate Filling Gate Filling Gate Filling Gate Group Art Unit Target Art Unit Target Art Unit Target |  |

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| NAME OF SOLE OR FIRST INVENTOR   |   | s been filed for this unsigned   | Inventor                            |  |
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